

1. **Disclosure of information on sanction imposed on credit institution and responsible person, dated 29 August 2017**

a) **Misdemeanour decision against credit institution and responsible person**

Information on person responsible for breach	
Business name and registered office of legal person	-
Name of natural person	-
Information on breach	
Description of circumstances and conduct entailing breach of ZBan-2 or Regulation (EU) No 575/2013	By virtue of a misdemeanour decision, the Bank of Slovenia imposed a fine in the amount of EUR 80,000 on the credit institution for a misdemeanour pursuant to point 33 of the first paragraph of Article 373 of the ZBan-2 (breach of Article 126 of the ZBan-2).
Nature of identified breaches	Breach of obligation to safeguard confidential data
Operational part of the decision by which the relevant proceedings are completed	
<p>As the misdemeanours authority pursuant to Article 380 of the Banking Act, pursuant to the second paragraph of Article 51 and Article 46 of the Misdemeanours Act, via the authorised official ■ acting <i>ex officio</i> in misdemeanours proceedings against the legal person ■ with a registered office in Ljubljana and the responsible person ■, for reason of a misdemeanour pursuant to point 33 of the first paragraph of Article 373 of the ZBan-2, the Bank of Slovenia hereby rules that:</p> <p>1. the legal person ■, 1000 Ljubljana, registration number ■ (hereinafter: ■)</p> <p style="text-align: center;">bears liability for the following:</p> <p>on ■■ the responsible person ■ employed at ■, on behalf of and for the account of ■, disclosed confidential information about transactions in current account number ■ of the customer ■ for the period of ■ without the requisite legal basis, ■ thereby breaching the second paragraph of Article 126 of the ZBan-2.</p> <p>■ thereby committed a misdemeanour pursuant to point 33 of the first paragraph of Article 373 of the ZBan-2.</p> <p>2. the responsible person ■, personal identification number (EMŠO) ■, a Slovenian citizen resident at ■ (hereinafter: the perpetrator)</p> <p style="text-align: center;">bears liability for the following:</p> <p>as the responsible person employed at ■ and responsible for preparing answers and documentation in response to enquiries for legal and natural persons on behalf of and for the account of ■, on ■■ he disclosed confidential information about transactions in current account number ■ of the customer ■ for the period of ■ without the requisite legal basis, thereby breaching the second paragraph of Article 126 of the ZBan-2.</p> <p>The perpetrator thereby committed a misdemeanour pursuant to the seventh paragraph of Article 373 of the ZBan-2 in connection with point 33 of the first paragraph of the same article.</p>	

The following **are imposed** pursuant to point 33 of the first paragraph of Article 373 of the ZBan-2, in connection with the third paragraph of Article 52 of the ZP-1 and Article 382 of the ZBan-2:

- on █ **for the misdemeanour referred to in point 1, a fine in the amount of EUR 80,000,**
- on the **responsible person █ for the misdemeanour referred to in point 2, a fine in the amount of EUR 800.**

Information as to whether judicial protection proceedings have been initiated against the decision in accordance with the ZBan-2

Judicial protection proceedings have not been initiated, and the decision is *res judicata*.